DOMAIN-DRIVEN SERVICE IDENTIFICATION AND DESIGN WITH MICROSERVICE API PATTERNS (MAP)

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Keynote 1 2nd VSS Seminar (DevOps and Microservices APIs)

Vienna, August 28, 2019

Prof. Dr. Olaf Zimmermann (ZIO) Certified Distinguished (Chief/Lead) IT Architect Institute für Software, HSR FHO ozimmerm@hsr.ch



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ZIOs "Research" Objectives (Projects and this Presentation)

- 1. Share Architectural Knowledge (AK) such as Arch. Decisions and patterns for state-of-the-art architectural styles and technologies.
 - *Focus here (partially published):* Microservices API Patterns (MAP)
 - Halted: Cloud Computing Decision Model
 - Completed previous work: SOA Decision Modeling (2006-2011)
- 2. Make AK Management (AKM) practical and assist architects with tools.
 - Here (quick overview): Context Mapper, Service Cutter
 - Also available: AD Mentor, MADR (open source projects)
 - *Emerging:* Microservices Domain-Specific Language (MDSL)
- 3. Help bridge the gap between agile practices and software architecture along the way.
 - Here (quick overview): architectural refactoring
 - Another time: SMART quality attributes, Y-shaped architectural decision records (in Markdown, code)





Available "Micropresentations" (Breakout Session Topics?)





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1. Microservices Clarification and Level Setting

It's the API contract that matters (not or not only the middleware)

2. Microservice API Patterns (MAP)

Motivation, publication status, website, examples; tools

3. Open Research Questions

... and early/partial solutions to them

https://vss.swa.univie.ac.at/2019/



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Sample Project: Order Management Application (Telecommunications)

Multi-Channel Order Management SOA in the Telecommunications Industry (in production since Q1/2005) [OOPSLA 2005] Reference: IBM,

- Functional domain
 - Order entry management
 - Two business processes: new customer, relocation
 - Main SOA drivers: deeper automation grade, share services between domains
- Service design
 - Top-down from requirement and bottom-up from existing wholesaler systems
 - Recurring architectural decisions:
 - Protocol choices
 - Transactionality
 - Security policies
 - Interface granularity



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ECOWS 2007



Perspectives on

Web Services

Springer

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Zurich Research Laboratory



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A Consolidated Definition of Microservices ("Hexagonions")

Microservices architectures evolved from previous incarnations of Service-Oriented Architectures (SOAs):

- Independently deployable, scalable and changeable services, each having a single responsibility
- Modeling business capabilities

More information: Zimmermann, O., *Microservices Tenets: Agile Approach to Service Development and Deployment*, Springer Journal of Computer Science Research and Development (2017)



- Often deployed in *lightweight containers*
- Encapsulating their own state, and communicating via message-based remote APIs (HTTP, queueing) in a loosely coupled fashion
- Leveraging polyglot programming and persistence
- DevOps practices including decentralized continuous delivery and end-toend monitoring (for business agility and domain observability)





"Napkin Sketch" of SOA Realizations (Adopted from G. Hohpe)



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RAPPERSWIL

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SOFTWARE

Calls to Service Operations are EIP-style Messages

ENTERPRISE INTEGRATION PATTERNS Provide Management Provide Management





{[...]} -- some JSON (or other MIME type)

https://www.enterpriseintegrationpatterns.com/patterns/messaging/CommandMessage.html



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You have been tasked to develop a RESTful HTTP API for a master data management system that stores customer records and allows sales staff to report and analyze customer behavior. The system is implemented in Java and Spring. An additional access channel is RabbitMQ.

What do you do?

- a) I hand over to my software engineers and students because all I manage to do these days is attend meetings and write funding proposals.
- b) I annotate the existing Java interfaces with @POST and @GET, as defined in Spring MVC, JAX-RS etc. and the job is done.
- c) I install an API gateway product in Kubernetes and hire a sys admin, done.
- d) I design a service layer and write an Open API Specification (f.k.a. Swagger) contract as well as Data Transfer Objects (DTOs). I worry about message sizes, transaction boundaries, compensation and coupling while implementing the contract. To resolve such issues, I create my own novel solutions. Writing infrastructure code and frameworks is fun after all!

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How to find suited granularities and achieve loose coupling?

<u>Context</u>

We have decided to go the SOA and/or microservices way. We use DDD for domain modeling and agile practices for requirements elicitation.



How to identify an adequate number of API endpoints and operations?

How to design (command/document) message representation structures so that API clients and API providers are loosely coupled and meet their (non-)functional requirements IDEALly?



Which patterns, principles, and practices do you use? Do they work?



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Introducing... Microservice API Patterns (MAP)

Identification Patterns:

 DDD as one practice to find candidate endpoints and operations

Foundation Patterns

- What type of (sub-)systems and components are integrated?
- Where should an API be accessible from?
- How should it be documented?

Responsibility Patterns

- Which is the architectural role played by each API endpoint and its operations?
- How do these roles and the resulting responsibilities impact (micro-)service size and granularity?

READ MORE →

Structure Patterns

 What is an adequate number of representation elements for request and response messages?

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- How are these elements structured?
- How can they be grouped and annotated with usage information?

READ MORE \rightarrow

Evolution Patterns:

 Recently workshopped (EuroPLoP 2019)

http://microservice-api-patterns.org



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Quality Patterns

- How can an API provider achieve a certain level of quality of the offered API, while at the same time using its available resources in a cost-effective way?
- How can the quality tradeoffs be communicated and accounted for?

READ MORE \rightarrow

HSR HOCHSCHULE FÜR TECHNIK RAPPERSWIL Microservice API Patterns (MAP)

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Exemplary Services in Order Management (Telecomunications)



- Endpoints play different *roles* in microservices architectures
 and their operations fulfill certain *responsibilities*:
 - Pre- and postconditions differ
 - Conversational state?
 - Data consistency vs. currentness

Impact on scalability and changeability?





Microservices API Patterns (MAP): Pattern Index by Category





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Context

An API endpoint and its calls have been identified and specified.

Problem

How can an API provider optimize a response to an API client that should deliver large amounts of data with the same structure?

Forces

- Data set size and data access profile (user needs), especially number of data records required to be available to a consumer
- Variability of data (are all result elements identically structured? how often do data definitions change?)
- Memory available for a request (both on provider and on consumer side)
- Network capabilities (server topology, intermediaries)
- Security and robustness/reliability concerns

Microservice API Patterns (MAP)



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Solution

- Divide large response data sets into manageable and easy-to-transmit chunks.
- Send only partial results in the first response message and inform the consumer how additional results can be obtained/retrieved incrementally.
- Process some or all partial responses on the consumer side iteratively as needed; agree on a request correlation and intermediate/partial results termination policy on consumer and provider side.

Variants

- Cursor-based vs. offset-based
- Consequences
 - E.g. state management required
- Know Uses
 - Public APIs of social networks





Legend: Request Message Response Message

(Querv)

(Query Result)







Gateway

(from EIP)

Result Record Set)

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Mini-Exercise: Can MAP serve as a map/guide to API design?

Let's have a look at the language organization and selected patterns...

- <u>http://microservice-api-patterns.org</u>
 - Website public since 2/2019; experimental preview site available to beta testers
- Sample patterns (suggestions):
 - Request Bundle, Embedded Entity, Wish List, API Key, Two in Production

Microservice API Patterns

HOME CATEGORIES

PATTERN FILTERS PATTE

PATTERN INDEX AUTHORS

Microservice API Patterns (MAP) take a broad view on API design and evolution, primarily focussing on message representations – the payloads exchanged when APIs are called. These payloads have *structure*. The representation elements in the payloads differ in their meanings as API endpoints and their operations have different architectural *responsibilities*. Furthermore, the chosen representation structures strongly influence the design time and runtime *qualities* of an API.

Our Microservice API Patterns capture proven solutions to design problems commonly encountered when specifying and implementing message-based APIs in terms of their structure, responsibilities, and quality.



Open Overview Slide Show in New Window



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MARCH/APRIL 2017 | IEEE SOFTWARE

MAY/JUNE 2018 | IEEE SOFTWARE

IEEE Software Insights, two-part Interview (2016 to 2017):

 System-level tools, context boundary management (MA); teach large/distributed system design better, or at all (NJ); data analysis/ML for MSA (JL); biz-MSA isomorphism, team organization(s); autonomy (MA)

IEEE Software special Theme Issue on Microservices (2018):

Service Modularization and Refactoring; Service Granularity; Front-end Integration; Resource Monitoring and Management; Failure, Recovery, and Self-Repair; Organizational Culture and Coordination

Gray literature (e.g., blog posts, online developer magazines):

- Development: DDD and microservices, refactoring to microservices, …
- Operations: microservices infrastructure architecture patterns, …

Can we come up with an update/a research roadmap here at VSS V2.0?



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Top Seven (IMHO)

Focus («Zielorientierung»), 2. Pragmatism, 3. Faithfulness («Stiltreue»),
 Patience («langer Atem»), 5. Learn lessons from the past, 6. Continuous improvement culture, 7. Master of your destiny (no vendor/OSS lock in)

Balance amount of (de-)centralization and team autonomy

- Just enough freedom vs. just enough governance
- One DBA per service? Version (test) data (along with code)!
- Does each team decide its platform stack? Test for sure.

Meet Operations Quality Attributes (QAs):

- Responsibility (people), accountability
- Traceability
- Auditability
- Reproducability (data, operations at date X)
- Self recoverability





Open Problem: Service Identification/Design ("DDD 4 SOA/MSA")





Research Questions

Which existing approaches are particularly suited to analyze and design cloudnative applications and to modernize existing systems (monoliths/megaliths)? How can these patterns be combined with Microservices API Patterns (MAP) – and other SOA/microservices design heuristics – to yield a holistic yet pragmatic *service-oriented analysis and design* practice?



Which patterns and practices do you apply? What are your experiences?



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DDD Applied to (Micro-)Service Design

M. Ploed is one of the "go-to-guys" here (find him on <u>Speaker Deck</u>)

Applies and extends DDD books by E. Evans and V. Vernon



Reference: JUGS presentation, Bern/CH, Jan 9, 2019



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Strategic DDD Context Map: Relationship Example



D: Downstream, U: Upstream; ACL: Anti-Corruption Layer, OHS: Open Host Service



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Context Mapper: A DSL for Strategic DDD

What is Context Mapper?

Context Mapper provides a DSL to create context maps based on **Domain-driven Design (DDD)** and its strategic patterns. DDD and its bounded contexts further provide an approach for **decomposing a domain** into multiple bounded contexts. With our **Service Cutter** integration we illustrate how the Context Mapper DSL (CML) can be used as a foundation for **structured service decomposition approaches**. Additionally, our context maps can be transformed into **PlantUML** diagrams.



- Xtext
- ANTLR
- Sculptor (tactic DDD DSL)
- Author: S. Kapferer
 - Term project HSR FHO

ContextMap {		
type = SYSTEM_LANDSCAPE		
<pre>state = AS_IS</pre>		
contains CargoBookingContext		
contains VoyagePlanningContext		
contains LocationContext		
CargoBookingContext <-> VoyagePlanningContext : Shared-Kernel		

}



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Proposal: Microsevice Domain-Specific Language (MDSL)

API description SpreadSheetExchangeAPI



delivering payload CSVSpreadsheet
 reporting error "SheetNotFound"

API provider SpreadSheetExchangeAPIProvider offers SpreadSheetExchangeEndpoint

API client SpreadSheetExchangeAPIClient consumes SpreadSheetExchangeEndpoint

Reference: https://socadk.github.io/MDSL/index

Data contract

- Compact, technology-neutral
- Inspired by JSON, regex

Endpoints and operations

- Elaborate, terminology from MAP domain model
 - Abstraction of REST resource
 - Abstraction of WS-* concepts
- Plus client, provider, gateway, governance (SLA, version, ...)

How does this notation compare to Swagger/JSON Schema and WSDL/XSD?





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ntroduction to Domain-Driven Design SOA 101 & **Open Problem: Service Decomposition** Real-World licroservices ervice Example Tenets (Case Studies) Service Granularity and Loose Coupling Architectural Service Analysi Refactoring & Design Microservi (Modeling) Traditional SOA Microservice AP Patterns (MAP) Users How Do Committees Invent? On the Criteria To Be UI Used in Decomposing Melvin E. Conway Systems into Modules Applications Services Copyright 1968, F. D. Thompson Publications, Inc. Reprinted by permission of Logic Datamation magazine. D.L. Parnas where it appeared April, 1968. Carnegie-Mellon University Data



Research Questions

How can systems be decomposed and cut into services (forward engineering)? How do the applied criteria and heuristics differ from software engineering and software architecture "classics" such as *separation of concerns* and *single responsibility principle*?



Which methods and practices do you use? Are they effective and efficient?



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Heuristics that do not suffice (IMHO)

- Two-pizza rule (team size)
- Lines of code (in service implementation)
- Size of service implementation in IDE editor





What is wrong with these "metrics" and "best practice" recommendations?

Simple if-then-else rules

- E.g. "If your application needs coarse-grained services, implement a SOA; if you require fine ones, go the microservices way" (I did not make this up!)
- Non-technical traits such as "products not projects"
 - Because context matters, as M. Fowler pointed out at <u>Agile Australia 2018</u>



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Bachelor Thesis Fall Term 2015

Software





Lukas Kölbener



Michael Gysel

Service Cutter (Proc. Of ESOCC 2016, Springer LNCS)

Advisor:Prof. Dr. Olaf ZimmermannCo-Examiner:Prof. Dr. Andreas RinkelProject Partner:Zühlke Engineering AG



The catalog of 16 coupling criteria





A Software Architect's Dilemma....

Step 2: Calculate Coupling

- Data fields, operations and artifacts are nodes.
- Edges are coupled data fields.
- Scoring system calculates edge weights.
- Two different graph clustering algorithms calculate candidate service cuts (=clusters).



A clustered (colors) graph.

Step 1: Analyze System

- Entity-relationship model
- Use cases
- System characterizations
- Aggregates (DDD)

Coupling information is extracted from these artifacts.

Step 3: Visualize Service Cuts

- Priorities are used to reflect the context.
- Published Language (DDD) and use case responsibilities are shown.

Technologies:

Java, Maven, Spring (Core, Boot, Data, Security, MVC), Hibernate, Jersey, JHipster, AngularJS, Bootstrap

https://github.com/ServiceCutter

Coupling Criteria (CC) in "Service Cutter" (Ref.: ESOCC 2016)



Full descriptions in CC card format: <u>https://github.com/ServiceCutter/ServiceCutter/wiki/Coupling-Criteria</u>

E.g. Semantic Proximity can be observed if:

- Service candidates are accessed within same use case (read/write)
- Service candidates are associated in OOAD domain model
- Coupling impact (note that coupling is a relation not a property):
 - Change management (e.g., interface contract, DDLs)
 - Creation and retirement of instances (service instance lifecycle)



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Open Research Problem: Refactoring to Microservices





Research Questions

How to *migrate* a modular monolith to a services-based cloud application (a.k.a. cloud migration, brownfield service design)? Can "micro-migration/modernization" steps be called out?



Which techniques and practices do you employ? Are you content with them?



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Code Refactoring vs. Architectural Refactoring

- Refactoring are "small behavior-preserving transformations" (M. Fowler 1999)
- Code refactorings such as "extract method":
 - Operate on Abstract Syntax Tree (AST)
 - Based on compiler theory, so well understood and automation possible (e.g., in Eclipse Java/C++)
 - Catalog and commentary:
 - <u>http://refactoring.com/ and https://refactoring.guru/</u>



Rename..

Refac<u>t</u>or <u>N</u>avigate Se<u>a</u>rch <u>P</u>roject <u>R</u>un <u>W</u>indow <u>H</u>elp

Alt+Shift+R

Architectural refactorings are different:

- Resolve one or more *architectural smells*, have an impact on quality attributes
 - Architectural smell: suspicion that architecture is no longer adequate ("good enough") under current requirements and constraints (which may differ form original ones)
- Are carriers of reengineering knowledge (patterns?)
- Can only be partially automated





Refactoring to Microservices API Patterns

Template and cloud refactorings

First published @ SummerSoc 2016

Coupling Smells

Smell Suggested Refactoring(s) API clients and their providers can only be deployed and updated jointly due to a tight coupling Downsize data contract by adding Linked

Granularity Smells

Smell	Suggested Refactoring(s)
God service with many operations that takes long to update, test and deploy	Split Service
Fat Information Holder violating SRP	Split Information Holder according to data lifetime and incoming dependencies
Big Ball of Service Mud (doing processing and data access)	Split into Processing Resource and Information Holder Resource (CQRS for API)
Service proliferation syndrome (unmanageable)	Consolidate different processing responsibility types into single Business Activity Processor

Computing (2017) 99:129–145 DOI 10.1007/s00607-016-0520-y



Architectural refactoring for the cloud: a decision-centric view on cloud migration

Olaf Zimmermann¹



Microservices refactorings:

Future work for MAP

Work in progress!





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SummerSoC 2019: Joint Work with University to Pisa



Reference: Brogi, A., Neri D., Soldani, J., Zimmermann, O., *Design Principles, Architectural Smells and Refactorings for Microservices*: A Multivocal Review. CoRR abs/1906.01553 and Springer SICS (2019, to appear)



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Key Messages of this Talk

- It is the API contract (and its implementations) that make or break projects, not (or not only) middleware and tools
 - Frameworks and infrastructures come and go, APIs stay
- Microservices API Patterns (MAP) language
 - Public MAP website now available in Version 1.2.1
 - 20+ patterns, sample implementation in public repo, supporting tools
- Context Mapper tool supporting strategic Domain-Driven Driven Design (DDD) and architectural refactoring
 - Other tools emerging

Microservices Domain-Specific Language (MDSL)

- Uses MAP as language constructs
- Can be generated from DDD bounded contexts

Research areas:

Service modeling, identification, decomposition, refactoring









data type Customer {"name": V<string>, "address" endpoint type CustomerLookup exposes operation findCustomer expecting payload "searchFilter": V<string> delivering payload "customerList": Customer*

You have been tasked to develop a RESTful HTTP API for a master data management system that stores customer records and allows sales staff to analyze customer behavior. The system is implemented in Java and Spring. An additional access channel is RabbitMQ.

What do you do?

- a) I hand over to my software engineers and students because all I manage to do these days is attend meetings and write funding proposals.
- b) I annotate the existing Java interfaces with @POST and @GET, as defined in Spring MVC or JAX-RS etc. and the job is done.
- c) I install an API gateway product in Kubernetes and hire a sys admin, done.
- d) I design a service layer and write an Open API Specification (f.k.a. Swagger) contract as well as Data Transfer Objects (DTOs). I worry about message sizes, transaction boundaries, compensation and coupling while implementing the contract. To resolve such issues, I create my own novel solutions. Writing infrastructure code and frameworks is fun after all!
- e) I leverage the patterns in MAP during API design and development ©





BACKUP CHARTS

Keynote 1 2nd VSS Seminar (DevOps and Microservices APIs) ervices Corr

Vienna, August 28, 2019

Prof. Dr. Olaf Zimmermann (ZIO) Certified Distinguished (Chief/Lead) IT Architect Institute für Software, HSR FHO ozimmerm@hsr.ch



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Sample Project: Financial Services Provider (for Retail Banks)

Reference: IBM, ACM OOPSLA 2004



Supports – and partially automates – core banking business processes

- More than 1000 of business services, each providing a single operation
- One database repository, logically partitioned



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Exemplary Service Operations in Core Banking

	Fine (business)	Coarse (business)
Fine (technical)	"Hello world" of core banking: int getAccountBalance (CustomerId)	"Big data" customer profiling (condensed): ActivityClassificationEnum scoreMonthlyInvestmentActivity (CustomerId, Month, Year)
Coarse (technical)	Single domain entity, but complex payload (search/filter capability): CustomerDTOSet searchCustomers (WildcardedCustomerName, CustomerSegment, Region)	Deep analytics («Kundengesamtübersicht»): BankingProductPortfolioCollection prepareCustomerAnalysisForMeeting (CustomerId, Timeframe)

Business granularity:

Functional scope, domain model coverage

Technical granularity:

Structure of message representations a.k.a.
 Data Transfer Object (DTOs)



Business alignment/agility? Independent deployability? Client/server coupling?







What is Service-Oriented Architecture (SOA)?



- A set of services and operations that a business wants to expose to their customers and partners, or other portions of the organization.
 - Note: no scope implied, enterprise-wide or application!
- An architectural style which requires a service provider, a service requestor (consumer) and a service contract (a.k.a. client/server).
 - Note: this is where the "business-alignment" becomes real!
- A set of architectural patterns such as service layer (with remote facades, data transfer objects), enterprise service bus, service composition (choreography/orchestration), and service registry, promoting principles such as modularity, layering, and loose coupling to achieve design goals such as reuse, and flexibility.
 - Note: not all patterns have to be used all the time!
- A programming and deployment model realized by standards, tools and technologies such as Web services (WSDL/SOAP), RESTful HTTP, or asynchronous message queuing (AMQP etc.)
 - Note: the "such as" matters (and always has)!

Based on and adapted from: IBM SOA Solution Stack, IBM developerWorks





SOA Foundations & Microservices

Tenets

Business

Domain Analyst

IT Architect

Developer, Administrator

https://github.com/Microservice-API-Patterns/LakesideMutual





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Microservices Publications Beyond MAP (2017, 2018)

- Zimmermann, O.: <u>Microservices Tenets Agile Approach to Service Development and Deployment</u>
 - Springer Comp Sci Res Dev, 2017, <u>http://rdcu.be/mJPz</u>



- Pardon, G., Pautasso, C., Zimmermann, O.: <u>Consistent Disaster Recovery for Microservices: the</u> <u>Backup, Availability, Consistency (BAC) Theorem</u>
 - In: IEEE Cloud Computing, 5(1) 2018, pp. 49-59.
- Pahl, C., Jamshidi, P., Zimmermann, O.: <u>Architectural Principles for Cloud Software</u>
 - In: ACM Trans. on Internet Technology (TOIT), 18 (2) 2018, pp. 17:1-17:23.
- Furda, A., Fidge, C., Zimmermann, O., Kelly, W., Barros, A.: <u>Migrating Enterprise Legacy Source Code</u> to Microservices: On Multitenancy, Statefulness, and Data Consistency
 - In: IEEE Software, 35 (3) 2018, pp. 63-72.



